

**COMP ENG 4SL4**  
**Fundamentals of Machine Learning**

**COURSE OUTLINE**

Please refer to course website for updated information.

**COURSE DESCRIPTION**

Fundamental principles and algorithms of machine learning: linear and logistic regression, nearest neighbours, decision trees, neural networks, support vector machines, ensemble methods; clustering and principal component analysis; basics of reinforcement learning; first term.

**PRE-REQUISITES AND ANTI-REQUISITES**

Prerequisite(s): COMPENG 2SI4 or COMPENG 2SI3, STATS 3YO3 or HTHSCI 2GO3, and ELECENG 3TQ3 or ELECENG 3TQ4

Antirequisite(s): CHEMENG 4H03, COMPSCI 4ML3, STATS 3DS3

**SCHEDULE And MODE OF DELIVERY**

The material for this course will be delivered through a mixture of online videos, textbook readings, live lectures, tutorials, and laboratories.

Lecture: Tuesday, Thursday, Friday at 11:30AM – 12:20PM

Tutorial: Tuesday 9:30AM – 10:20AM

Laboratory: Labs are every other week (skipping the recess week)

L01: Tuesday 2:30PM – 5:20PM (Starts Sept. 23)

L02: Tuesday 2:30PM – 5:20PM (Starts Sept. 16)

L03: Thursday 2:30PM – 5:20PM (Starts Sept. 25)

L04: Thursday 2:30PM – 5:20PM (Starts Sept. 18)

**INSTRUCTOR**

Dr. Sorina Dumitrescu

Email: [dumitrs@mcmaster.ca](mailto:dumitrs@mcmaster.ca)

Office: ITB-A222

Phone: 905-525-9140 ext 26486

Office Hours: TBA on the website

### TEACHING ASSISTANTS

- Ruilin Ji - Email: [jir15@mcmaster.ca](mailto:jir15@mcmaster.ca)
  - Simon Kaboyo - Email: [kaboyos@mcmaster.ca](mailto:kaboyos@mcmaster.ca)
  - Ping Li – Email: [li3270@mcmaster.ca](mailto:li3270@mcmaster.ca)
  - Zewei Zhang – Email: [zhanz561@mcmaster.ca](mailto:zhanz561@mcmaster.ca)
- TAs' office hours will be posted on the course website.

### COURSE WEBSITE/S

<http://avenue.mcmaster.ca>

### COURSE OBJECTIVES

By the end of this course, students should be able to:

- Understand the fundamental principles of machine learning
- Become familiar with some popular machine learning techniques
- Implement some machine learning algorithms
- Understanding the societal and environmental impact and the ethical implications of machine learning

### CEAB GRADUATE ATTRIBUTES (GAs)

Note: The CEAB Graduate Attributes (GAs) defined in this section are measured throughout the course and form part of the Department's continuous improvement process. They are a key component of the accreditation process for the program and will not be taken into consideration in determining a student's actual grade in the course. For more information on accreditation, please ask your instructor or visit: <http://www.engineerscanada.ca>

Attributes	Indicators		Measurement Method(s)
	Number	Description	
Knowledge Base for Engineering	1.1	Competence in mathematics	Exam questions
Knowledge Base for Engineering	1.4	Competence in specialized engineering knowledge	Exam questions
Use of Engineering Tools	5.2	Successfully uses engineering tools	Labs

Attributes	Indicators		Measurement Method(s)
	Number	Description	
Communication Skills	7.1	Demonstrates comprehension of technical and non-technical instructions and questions	Lab interviews
Impact of Engineering on Society and the Environment	9.2	Evaluates the social impact of engineering activities, including health, safety, legal, cultural, and other relevant factors, and identifies uncertainties in decisions.	Exam questions

#### ASSUMED KNOWLEDGE

Basic programming in Python; linear algebra: basic matrix operations; calculus: computation of derivatives; probability and statistics: **strong understanding of probability**, conditional probability, expectation, correlation, mean, variance.

#### COURSE MATERIALS

Required Texts: No required texts.

Supplementary Texts:

- [1] C. M. Bishop, Deep Learning. Foundations and Concepts, Springer, 2024 (ISBN: 9783031454677)
- [2] C. M. Bishop, Pattern Recognition and Machine Learning, Springer, 2006 (ISBN 9780387310732).
- [3] T. Hastie, R. Tibshirani, and J. Friedman, The Elements of Statistical Learning, 2nd Ed., Springer, 2009 (ISBN 9780387848570).
- [4] R. S. Sutton and A. G. Barto, Reinforcement Learning: An Introduction, 2nd Ed., The MIT Press, 2018 (ISBN 9780262039246).
- [5] I. Goodfellow, Y. Bengio, and A. Courville, Deep Learning, The MIT Press, 2016 (ISBN 9780262035613).
- [6] S. J. D. Prince, Understanding Deep Learning, The MIT Press, 2023 (ISBN: 9780262048644)

Calculator:

**Any calculator is permitted in tests and examinations.**

## COURSE OVERVIEW

Week	Topic	Readings
1	Supervised Learning - General Framework	Lecture notes
2	Linear Regression. Gradient Descent	Lecture notes
3	Decision Theory. Classification.	Lecture notes
4	Nearest Neighbors. Cross-validation	Lecture notes
5	Logistic Regression.	Lecture notes
6	Bias-Variance Trade-off. The k-Means Algorithm	Lecture notes
7, 8	Neural Networks	Lecture notes
9	Finite Markov Decision Model for Reinforcement Learning.	Lecture notes
10	Temporal Difference Learning	Lecture notes
11	Decision Trees. Boosting and Bagging	Lecture notes
12	Principal Component Analysis. Generative Classifiers	Lecture notes
13	Ethical Implications of Machine Learning	

A more detailed timeline is available on Avenue to Learn.

At certain points in the course it may make good sense to modify the schedule. The instructor may modify elements of the course and will notify students accordingly (in class and on the course website).

## LABORATORY OVERVIEW

**Labs are NOT held during the first two weeks of the term.**

Week	Topic (tentative)
3 - 4	Linear Regression and Trade-off between Overfitting and Underfitting
5 - 6	k-Nearest Neighbours and Cross-validation
7 - 8	k-Means
9 - 10	Neural Networks
11 - 12	Reinforcement Learning

## LABORATORY OPERATION

- Each student in the course is required to pass the lab safety quiz prior to attempting any of the laboratories. The quiz will be available on Avenue to Learn.
- More details about lab operation will be posted on Avenue to Learn.

## ASSESSMENT

Component	Weight
Lab Assignments (5)	5 x 5% = 25%
Midterm 1	15%
Midterm 2	15%
Final Exam	45%
Total	100%

**Passing the final exam is a requirement for passing the course.**

Midterm 1 will take place on October 3<sup>rd</sup> during class time.

Midterm 2 will take place on November 11<sup>th</sup> during class time.

## ACADEMIC INTEGRITY

You are expected to exhibit honesty and use ethical behaviour in all aspects of the learning process. The academic credentials you earn are rooted in principles of honesty and academic integrity. It is your responsibility to understand what constitutes academic dishonesty.

Academic dishonesty is to knowingly act or fail to act in a way that results or could result in unearned academic credit or advantage. This behaviour can result in serious consequences, e.g., the grade of zero on an assignment, loss of credit with a notation on the transcript (notation reads: "Grade of F assigned for academic dishonesty"), and/or suspension or expulsion from the University. For information on the various types of academic dishonesty, please refer to the Academic Integrity Policy, located at <https://secretariat.mcmaster.ca/university-policies-proceduresguidelines/>

The following illustrates only three forms of academic dishonesty:

- plagiarism, e.g., the submission of work that is not one's own or for which other credit has been obtained.
- improper collaboration in group work.
- copying or using unauthorized aids in tests and examinations.

## AUTHENTICITY / PLAGIARISM DETECTION

Some courses may use a web-based service (Turnitin.com) to reveal authenticity and ownership of student-submitted work. For courses using such software, students will be expected to submit their work electronically either directly to Turnitin.com or via an online learning platform (e.g., A2L, etc.) using plagiarism detection (a service supported by Turnitin.com) so it can be checked for academic dishonesty.

Students who do not wish their work to be submitted through the plagiarism detection software must inform the Instructor before the assignment is due. No penalty will be assigned to a student who does not submit work to the plagiarism detection software. All submitted work is subject to normal verification that standards of academic integrity have been upheld (e.g., online search, other software, etc.). For more details about McMaster's use of Turnitin.com, please go to [www.mcmaster.ca/academicintegrity](http://www.mcmaster.ca/academicintegrity).

#### **COURSES WITH AN ONLINE ELEMENT**

Some courses may use online elements (e.g., email, Avenue to Learn (A2L), LearnLink, web pages, capa, Moodle, ThinkingCap, etc.). Students should be aware that, when they access the electronic components of a course using these elements, private information such as first and last names, user names for the McMaster email accounts, and program affiliation may become apparent to all other students in the same course. The available information is dependent on the technology used. Continuation in a course that uses online elements will be deemed consent to this disclosure. If you have any questions or concerns about such disclosure, please discuss this with the course instructor.

Some courses may use online proctoring software for tests and exams. This software may require students to turn on their video camera, present identification, monitor and record their computer activities, and/or lock/restrict their browser or other applications/software during tests or exams. This software may be required to be installed before the test/exam begins.

#### **COPYRIGHT AND RECORDING**

Students are advised that lectures, demonstrations, performances, and any other course material provided by an instructor include copyright-protected works. The Copyright Act and copyright law protect every original literary, dramatic, musical, and artistic work, including lectures by University instructors.

The recording of lectures, tutorials, or other methods of instruction may occur during a course. Recording may be done by either the Instructor for the purpose of authorized distribution or by a student for the purpose of personal study. Students should be aware that their voice and/or image may be recorded by others during the class. Please speak with the Instructor if this is a concern for you.

#### **CONDUCT EXPECTATIONS**

As a McMaster student, you have the right to experience and the responsibility to demonstrate respectful and dignified interactions within all of our living, learning, and working communities. These expectations are described in the Code of Student Rights & Responsibilities (the "Code"). All students share the responsibility of maintaining a positive environment for the academic and personal growth of all McMaster community members, whether in person or online. It is essential that students be mindful of their interactions online, as the Code remains in effect in

virtual learning environments. The Code applies to any interactions that adversely affect, disrupt, or interfere with reasonable participation in University activities. Student disruptions or behaviours that interfere with university functions on online platforms (e.g., use of Avenue 2 Learn, WebEx or Zoom for delivery), will be taken very seriously and will be investigated. Outcomes may include restriction or removal of the involved students' access to these platforms.

#### **ACADEMIC ACCOMMODATIONS**

Students with disabilities who require academic accommodation must contact Student Accessibility Services (SAS) at 905-525-9140 ext. 28652 or [sas@mcmaster.ca](mailto:sas@mcmaster.ca) to make arrangements with a Program Coordinator. For further information, consult McMaster University's Academic Accommodation of Students with Disabilities policy.

Students requiring academic accommodation based on religious, indigenous or spiritual observances should follow the procedures set out in the RISO policy. Students should submit their request to their Faculty Office normally within 10 working days of the beginning of term in which they anticipate a need for accommodation or to the Registrar's Office prior to their examinations. Students should also contact their instructors as soon as possible to make alternative arrangements for classes, assignments, and tests.

#### **REQUESTS FOR RELIEF FOR MISSED ACADEMIC WORK**

McMaster Student Absence Form (MSAF): In the event of an absence for medical or other reasons, students should review and follow the Academic Regulation in the Undergraduate Calendar "Requests for Relief for Missed Academic Term Work".

More details about the course policy on MSAFs will be posted on Avenue to Learn.

#### **EXTREME CIRCUMSTANCES**

The University reserves the right to change the dates and deadlines for any or all courses in extreme circumstances (e.g., severe weather, labour disruptions, etc.). Changes will be communicated through regular McMaster communication channels, such as McMaster Daily News, A2L and/or McMaster email.

**[www.eng.mcmaster.ca/ece](http://www.eng.mcmaster.ca/ece)**

## Electrical and Computer Engineering Lab Safety

### Information for Laboratory Safety and Important Contacts

This document provides important information for the healthy and safe operation of ECE instructional laboratories. This document is required reading for all laboratory supervisors, instructors, researchers, staff, and students working in or managing instructional laboratories in ECE. It is expected that revisions and updates to this document will be done continually. A McMaster University lab manual is also available to read in every laboratory and online <https://hr.mcmaster.ca/app/uploads/2019/07/2019-McMaster-Lab-Manual.pdf>

### General Health and Safety Principles

Good laboratory practice requires that every laboratory worker and supervisor observe the following whether conducting lab work at school or at home:

1. Food and beverages are not permitted in the instructional laboratories.
2. A Laboratory Information Sheet on each lab door identifying potential hazards and emergency contact names should be known.
3. Laboratory equipment should only be used for its designed purpose.
4. Proper and safe use of lab equipment should be known before using it.
5. The course TA leading the lab should be informed of any unsafe conditions.
6. The location and correct use of all available safety equipment should be known.
7. Potential hazards and appropriate safety precautions should be determined, and the sufficiency of existing safety equipment should be confirmed before beginning new operations.
8. Proper waste disposal procedures should be followed.
9. Personal ergonomics should be practiced when conducting lab work. <https://bit.ly/3fOE71E>
10. Current University health and safety issues and protocol should be known. <https://hr.mcmaster.ca/resources/covid19/workplace-health-and-safety-guidance-during-covid-19/>

### Location of Safety Equipment

#### Fire Extinguisher

On walls in halls outside of labs

#### First Aid Kit

ITB A111, or dial "88" after 4:30 p.m.

#### Telephone

On the wall of every lab near the door

#### Fire Alarm Pulls

Near all building exit doors on all floors



## Who to Contact

**Emergency Medical / Security:** On McMaster University campus, call Security at extension **88** or **905-522-4135** from a cell phone.

**Non-Emergency Accident or Incident:** Immediately inform the TA on duty or Course Instructor.

**University Security (Enquiries / Non-Emergency):** Dial 24281 on a McMaster phone or dial 905-525-9140 ext. 24281 from a cell phone.

**See TA or Instructor:** For problems with heat, ventilation, fire extinguishers, or immediate repairs

**Environmental & Occupational Health Support Services (EOHSS):** For health and safety questions dial 24352 on a McMaster phone or dial 905-525-9140 ext. 24352 from a cell phone.

**ECE Specific Instructional Laboratory Concerns:** For non-emergency questions specific to the ECE laboratories, please contact 24103.

## In Case of a Fire (On Campus Dial 88)

When calling to report a fire, give name, exact location, and building.

1. Immediately vacate the building via the nearest Exit Route. Do not use elevators!
2. Everyone is responsible for knowing the location of the nearest fire extinguisher, the fire alarm, and the nearest fire escape.
3. The safety of all people in the vicinity of a fire is of foremost importance. But do not endanger yourself!
4. In the event of a fire in your work area shout "*Fire!*" and pull the nearest fire alarm.
5. Do not attempt to extinguish a fire unless you are confident it can be done in a prompt and safe manner utilizing a hand-held fire extinguisher. Use the appropriate fire extinguisher for the specific type of fire. Most labs are equipped with Class A, B, and C extinguishers. Do not attempt to extinguish Class D fires which involve combustible metals such as magnesium, titanium, sodium, potassium, zirconium, lithium, and any other finely divided metals which are oxidizable. Use a fire sand bucket for Class D fires.
6. Do not attempt to fight a major fire on your own.
7. If possible, make sure the room is evacuated; close but do not lock the door and safely exit the building.

## Clothing on Fire

Do not use a fire extinguisher on people

1. Douse with water from safety shower immediately or
2. Roll on floor and scream for help or
3. Wrap with fire blanket to smother flame (a coat or other nonflammable fiber may be used if blanket is unavailable). Do not wrap a standing person; rather, lay the victim down to extinguish the fire. The blanket should be removed once the fire is out to disperse the heat.

## Equipment Failure or Hazard

Failure of equipment may be indicative of a safety hazard - You must report all incidents.

Should you observe excessive heat, excessive noise, damage, and/or abnormal behaviour of the lab equipment:

1. Immediately discontinue use of the equipment.
2. In power labs, press the wall-mounted emergency shut-off button.
3. Inform your TA of the problem.
4. Wait for further instructions from your TA.
5. TA must file an incident report.

## Protocol For Safe Laboratory Practice

Leave equipment in a safe state for the next person - if you're not sure, ask!

In general, leave equipment in a safe state when you finish with it. When in doubt, consult the course TA.

## Defined Roles

TA	The first point of contact for lab supervision	
ECE Lab Supervisor	Steve Spencer - ITB 147	<a href="mailto:steve@mail.ece.mcmaster.ca">steve@mail.ece.mcmaster.ca</a>
ECE Chair	Shahram Shirani - ITB A111	<a href="mailto:shirani@mcmaster.ca">shirani@mcmaster.ca</a>
ECE Administrator	Shelby Gaudrault - ITB A111	<a href="mailto:gaudraus@mcmaster.ca">gaudraus@mcmaster.ca</a>
ECE Course Instructor	Sorina Dumitrescu – ITB A222	<a href="mailto:dumitrs@mcmaster.ca">dumitrs@mcmaster.ca</a>